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PATENT 33379US1(148341NM)(12553-1115)

IN THE LENGTED STATES PATENT AND TRADEMARK OFFICE

Applicant: Sunyu Su et al.

Art Unit: 3737

Serial No.: 10/085,347

Examiner: Eleni M. Mantis Mercader

Filed: February 27, 2002

For: UNEVEN-COUNTER-

ROTATIONAL COIL BASED MRI RF COIL ARRAY

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- Appellants' Brief (17 pgs.)
- Appendix (3 pgs.)
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Respectfully submitted,

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TRANSMITTAL LETTER ACCOMPANYING APPEAL BRIEF

Mail Stop: Appeal Brief - Patents Commissioner of Patents P.O. Box 1450

Alexandria, VA 22313-1450

Transmitted herewith is the Appeal Brief in this application. The Commissioner is authorized to charge the \$500.00 fee for filing this Appeal Brief, pursuant to 37 CFR 41.20(b)(2), to Deposit Account 01-2384. A duplicate copy of this transmittal letter is submitted for that purpose.

The Notice of Appeal in this Application was mailed on January 4, 2005. Applicants hereby request a two-month extension for the filing of this Appeal Brief, to and including May 4, 2005. The Commissioner is authorized to charge the \$450.00 fee for the two-month extension to Deposit Account 01-2384. As indicated in the enclosed Certificate of Mailing by Express Mail, the Appeal Brief is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service (Express Mail Label No. EL 977934335 US), on May 4, 2005.

In the event of overpayment or underpayment, please credit any excess or charge any deficiency to Deposit Account No. 01-2384. A duplicate copy of this transmittal letter is submitted for that purpose.

Respectfully submitted

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APPELLANTS' BRIEF

Mail Stop: Appeal Brief - Patents

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

The Notice of Appeal in this Application was filed on January 4, 2005.

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- I. Real Party in Interest
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- VI. Grounds of Rejection to be Reviewed on Appeal
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No Evidence Appendix and no Related Proceedings Appendix are part of this appeal brief.

PATENT 33379US1 (148341NM)(12553-1115)

I. REAL PARTY IN INTEREST

The real party in interest in this appeal is General Electric Company, 1 River Road, Schenectady, New York 12345.

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II. RELATED APPEALS AND INTERFERENCES

There are no related appeals or interferences which will directly affect, or be directly affected by, or have a bearing on, the decision in this pending appeal.

III. STATUS OF CLAIMS

Presently, claims 1-20 are pending in the subject application and are on appeal. Claims 1-11 stand rejected. Claims 12-20 have not been rejected. The only mention to a rejection was in an Advisory Action, which made reference to a previous 103 rejection of all claims. No such rejection is of record.

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IV. STATUS OF AMENDMENTS

A Final Office Action was mailed July 12, 2004. In response thereto, a request for reconsideration was filed September 13, 2004, which, as indicated in the Advisory Action dated October 5, 2004, was considered, but did not place the application in condition for allowance.

V. SUMMARY OF THE INVENTION

The following summary does not limit, in any manner whatsoever, the claim interpretation. Rather, the following summary is provided only to facilitate the Board's understanding of the subject matter of this appeal.

Various embodiments of the invention relate to a magnetic resonance imaging (MRI) coil array that generates a quasi-one-peak sensitivity profile. More specifically, the invention is defined claim-by-claim as set forth below.

Independent claim 1 recites an MRI RF coil array that comprises a first coil 12 having a null B₁ point and a quasi-one-peak sensitivity profile with only one peak (page 4, lines 15-17 and page 4, line 30 to page 5, line 2 of the specification; and Fig. 2D). The MRI coil array also comprises a second coil 14 oriented with respect to the first coil to reduce coupling (page 5, lines 3-6 of the specification).

Claim 2 depends from claim 1 and further recites that the second coil is within the first coil (page 6, lines 21-26 of the specification).

Claim 3 depends from claim 1 and further recites that the second coil overlaps the first coil (page 6, lines 21-26 of the specification).

Claim 4 depends from claim 1 and further recites that the second coil is cascaded with the first coil (page 6, lines 21-26 of the specification).

Claim 5 depends from claim 1 and further recites that the second coil is solenoidal (page

5, lines 15-17 and page 6, lines 21-26 of the specification).

Independent claim 6 recites a MRI coil array that comprises a first solenoidal coil 12 having a first section (first coil section A) and a second section (second coil section B), with the first section having more turns than the second section (page 4, lines 16-18 of the specification). The second section has a counter-rotational orientation with respect to the first section (page 5, lines 18-19), and the first coil has a quasi-one-peak sensitivity profile with only one peak (page 4, line 30 to page 5, line 2 of the specification; and Fig. 2D). The MRI coil array further comprises a second solenoidal coil 14 being oriented with respect to the first coil to reduce coupling (page 5, lines 3-6 of the specification).

Claim 7 depends from claim 6 and further recites that the second coil is oriented between the first and second sections (page 6, lines 21-26 of the specification).

Claim 8 depends from claim 6 and further recites that the second coil is oriented about the second section (page 6, lines 21-26 of the specification).

Claim 9 depends from claim 6 and further recites that the second coil is cascaded with the first coil (page 6, lines 21-26 of the specification).

Independent claim 10 recites a MRI coil array that comprises a first solenoidal coil 12 having a first section (first coil section A) and a second section (second coil section B), with the first section having more turns than the second section (page 4, lines 16-18 of the specification). The second section has a counter-rotational orientation with respect to the first section (page 5, lines 18-19). The MRI coil array further comprises a second solenoidal coil 14 oriented with respect to the first coil to reduce coupling and wherein the second coil is oriented about the

second section (page 5, lines 3-6 and page 6, lines 21-26 of the specification).

Independent claim 11 recites a MRI coil array that comprises a first solenoidal coil 12 having a first section (first coil section A) and a second section (second coil section B), with the first section having more turns than the second section (page 4, lines 16-18 of the specification). The second section has a counter-rotational orientation with respect to the first section (page 5, lines 18-18). The MRI coil array further comprises a second solenoidal coil 14, with the second coil being oriented with respect to the first coil to reduce coupling (page 5, lines 3-6 of the specification). The second coil is cascaded with the first coil (page 6, lines 21-26 of the specification).

Claim 12 depends from claim 6 and further recites an orthogonal coil forming a quadrature pair with each of the solenoidal coils (page 7, lines 28-30 of the specification).

Claim 13 depends from claim 1 and further recites that the second coil is placed near the null B₁ point (page 5, lines 3-4 of the specification).

Claim 14 depends from claim 1 and further recites that the first coil comprises a plurality of winding sections with the B₁ field produced by one winding section stronger than the B₁ field produced by another winding section (page 5, lines 18-22 of the specification).

Claim 15 depends from claim 1 and further recites that the first coil comprises a plurality of winding sections, with the number of the winding sections based on sensitivity parameters (page 5, lines 10-12 of the specification).

Claim 16 depends from claim 1 and further recites that the first coil comprises a plurality

of winding sections and at least one of (i) a separation between the winding sections and (ii) a diameter of the winding sections is based on sensitivity parameters (page 5, lines 23-27 of the specification).

Claim 17 depends from claim 1 and further recites that the second coil is positioned relative to the first coil based on B₁ field strength (page 5, lines 9-12 and page 6, lines 7-18 of the specification).

Independent claim 18 recites a method for providing a MRI coil array that comprises configuring a first coil 12 having a null B₁ point and a quasi-one-peak sensitivity profile with only one peak (page 4, lines 15-17 and page 4, line 30 to page 5, line 2 of the specification; and Fig. 2D). The method further recites configuring a second coil oriented with respect to the first coil to reduce coupling (page 5, lines 3-6 of the specification).

Claim 19 depends from claim 18 and further recites that the second coil overlaps the first coil (page 6, lines 21-26 of the specification).

Claim 20 depends from claim 18 and further recites that the second coil is cascaded with the first coil (page 6, lines 21-26 of the specification).

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VI. GROUNDS OF REJECTION TO BE REVIEWED ON APPEAL

A. Claims 1-11 stand rejected under 35 U.S.C. § 102(e) as being anticipated by Su et al. (U.S. Patent 6,493,572) (hereinafter "Su").

B. Claims 12-20 have not been rejected.

VII. ARGUMENT

Applicants respectfully submit that each pending claim in the pending application is patentable over the cited art. Accordingly, Applicants respectfully traverse the rejection of the pending claims, and requests that the final rejection be withdrawn and that the pending claims be allowed. In support of these requests, a discussion regarding the patentability of the claimed recitations is set forth below.

A. Claims 1-11 Are Not Anticipated by Su

Claims 1-11 have been rejected under 35 U.S.C. § 102(e) as being anticipated by Su. This rejection was made final in an Office Action dated July 12, 2004.

Applicants submit that Su does not teach or suggest the recitations of independent claims 1, 6, 10 and 11. Claims 1 and 6 recite an MRI RF coil array that comprises a first coil having a quasi-one-peak sensitivity profile with only one peak. In the Final Office Action, the Office asserts that because the current claim language uses the open term "comprising," the claim does not exclude a profile with more than one peak as disclosed in Su. This assertion ignores the recitation of "only" in these claims.

Su et al. describes an inherently de-coupled sandwiched solenoidal array coil (SSAC) for use in receiving nuclear magnetic resonance (NMR) radio frequency (RF) signals in both horizontal and vertical-field magnetic resonance imaging (MRI) systems (see abstract of Su).

The SSAC includes a receive coil 1 consisting of two loop or solenoidal sections 1a and 1b that are spatially separated by distance W. The two loop or solenoidal sections may consist of either single or multiple conductive windings (turns) and are electrically connected by a pair of parallel

conductors 1c and 1d, such that an electrical current (i) in coil 1 flows clockwise in section 1b but counterclockwise in section 1a or vice versa (column 6, lines 38-49).

Further, the SSAC includes receive coil 2, which also may be a single-turn loop or a multiple-turn solenoid, and is "sandwiched" between sections 1a and 1b of the gradient-field arrangement of coil 1 to form an RF coil array. The separated sections of coil 1 are electrically connected and positioned so that a current in coil 1 flows in opposite circumferential directions through the conductive windings in sections 1a and 1b such that magnetic fields generated from sections located at opposite sides (axial ends) of the coil achieve a null at the location of coil 2. This structural configuration provides an inherent "decoupling" of the two coils when used together as an array for receiving NMR signals (column 6, lines 50-67).

The SSAC disclosed in Su has an "M" shaped sensitivity profile with two peaks having a null in between as shown in Fig. 2D of Su. This profile shows the sensitivity of the coil 2, which has counter-rotational portions (column 7, lines 53-57 of Su). The peak of coil 1 of the SSAC disclosed in Su must be located between the two peaks of the "M" shaped profile to achieve the desired coupling.

In contrast claims 1 and 6 recite a coil of a coil array having a sensitivity profile with "only one peak" as illustrated in Fig. 2D of the pending application and showing a null with only one peak. This sensitivity profile allows the coil arrays shown in Figs. 4-6 of the pending application to function effectively.

Applicants and the Office do not disagree as to what Su discloses. Both the Applicants and the Office agree that Su only describes a coil array having a sensitivity profile with two

peaks. Thus, the issue presented with respect to claims 1-11 is whether the claimed recitation of "only one peak" is anticipated by the two peak profile disclosed in Su. To assert that the two peak profile of Su anticipates the recited claims including "only one peak" ignores the plain language of the claims and is clearly contrary to established law regarding claim construction.

The law is clear, and the Federal Circuit has repeatedly held that an indefinite article such as "a" or "an" when recited in an open-ended claim containing the transitional phrase "comprising" is construed to mean "one or more" unless the claim is specific as to the number of elements. Scanner Technologies Corp. v. ICOS Vision Systems Corp., 70 USPQ2d 1900, 1904 (Fed. Cir. 2004)(emphasis added). The claim construction inquiry begins and ends in all cases with the actual words of the claim. Id.

Further, the law is clear that use of the term "only" limits the scope of the claim language to exclusively what is claimed in connection with the term "only." Elekta Instrument v. O.U.R. Scientific Int'l, 54 USPQ2d 1910, 1913 (Fed. Cir 2000). The Federal Circuit made clear in Elektra that use of the term "only" unambiguously limits a claim exclusively to that which the term modifies, in that case, to a range of values. Id. In Elektra, the claims were amended to include "only" to be exclusionary, and in particular, to be "only within a zone" to overcome prior art outside the zone. Id at 1914.

The pending application recites in amended claims 1 and 6, a sensitivity profile having "only one peak," thereby clearly specifying the number of peaks in the sensitivity profile, namely one, and only one. Therefore, the sensitivity profile is only anticipated by a sensitivity profile having only one peak. To interpret the claim any other way would disregard a recitation expressly set forth in the claims. For example, the CCPA has held that a claim reciting means

responsive to flow through one inlet orifice reads on means responsive to flow through two orifices because flow through two includes flow through one. <u>In re Teague</u>, 117 USPQ 284, 289 (CCPA 1958). In contrast to claims 1 and 6 that recite "only one peak," the holding in *Teague* was reached because the claim did not recite "only one" and therefore must be construed to mean "at least one." Id.

Anticipation under Section 102 requires that the prior art contain each and every element of the claimed invention. Lewmar Marine Inc. v. Barient, Inc., 3 USPQ2d 1766, 1767-1768 (Fed. Cir. 1987). It is improper to disregard or ignore a claim recitation. Claims 1 and 6 recite a sensitivity profile with "only one peak." Only is defined as "one alone; single." Webster's Revised Unabridged Dictionary, 1913 Edition. Although a reference disclosing a sensitivity profile having two peaks may anticipate a claim reciting a sensitivity profile having "one peak," it does not anticipate a claim reciting a sensitivity profile having "only one peak." To interpret the claim in such a manner would totally ignore the claim language and is contrary to the ordinary meaning of the word "only." To interpret a sensitivity profile having two peaks to anticipate and include a sensitivity profile having "only one peak" is improper. Further, it is impossible and illogical to have a sensitivity profile defined by two peaks include two of "only one peak" because the "only" necessarily limits the number of peak to one and not more than one. Thus, because claims 1 and 6 include the recitation "only one peak," the claims cannot be interpreted to be anticipated by Su.

Further, as described above, the coil array in Su cannot achieve the desired coupling without the "M" shaped sensitivity profile with two peaks. Thus, Su in fact teaches away from a sensitivity profile having "only one peak."

Neither the foregoing discussion of Su, nor any other written text or graphical illustrations within Su, anticipate claims 1 or 6.

Claims 2-5 and 7-9 depend from claims 1 and 6, respectively, and are likewise not anticipated by Su based at least on their dependency from claims 1 and 6.

For reasons set forth above, it is respectfully submitted that the Su patent does not teach or suggest the claim recitations of claims 1 and 6, and therefore does not anticipate these claims.

Claims 10 and 11 recite an MRI coil comprising "a first solenoidal coil having a first section and a second section, said first section having more turns than said second section and said second section having a counter-rotational orientation with respect to said first section" and "a second solenoidal coil, said second coil being oriented with respect to said first coil to reduce coupling, wherein said second coil is oriented" either about said second section or cascaded with said first coil.

Applicants submit that Su fails to describe the coil arrangement as recited in these claims. Su does not teach or suggest a second coil oriented about a second section or cascaded with a first coil. The Office Action merely makes a broad assertion that the several orientations of coils are presented, including overlapping and cascading configurations. The Office Action fails to specifically set forth where Su discloses these recitations. Therefore, the grounds of rejection have not been clearly developed, are improper, and the finality of such rejection is inappropriate. M.P.E.P. § 706.07.

B. Claims 11-20 Have Not Been Rejected

Applicants respectfully submit that claims 11-20 have not been rejected. The only

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mention to a rejection was in the Advisory Action, which made reference to a previous 103 rejection of all claims. No such rejection is of record. Consequently, the grounds of rejection have not clearly been developed and the finality of the Office Action is inappropriate. See MPEP § 706.07. Specifically, the final Office Action (nor any of the previous Office Actions) does not address each of the specific claims.

Accordingly, Applicants respectfully request that the final rejection be withdrawn, and the pending claims allowed. A favorable action is respectfully requested.

Respectfully submitted?

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VIII. APPENDIX OF CLAIMS INVOLVED IN THE APPEAL

1. A MRI RF coil array, said array comprising:

a first coil having a null B₁ point and a quasi-one-peak sensitivity profile with only one peak; and

a second coil oriented with respect to said first coil to reduce coupling.

- 2. A MRI RF coil array according to claim 1, wherein said second coil is within said first coil.
 - 3. A MRI coil array according to claim 1, wherein said second coil overlaps said first coil.
- 4. A MRI coil array according to claim 1, wherein said second coil is cascaded with said first coil.
 - 5. A MRI coil array according to claim 1, wherein said second coil is solenoidal.
 - 6. A MRI coil array, said array comprising:

a first solenoidal coil having a first section and a second section, said first section having more turns than said second section and said second section having a counter-rotational orientation with respect to said first section, said first coil having a quasi-one-peak sensitivity profile with only one peak; and

a second solenoidal coil, said second coil being oriented with respect to said first

coil to reduce coupling.

- 7. A MRI coil array according to claim 6, wherein said second coil is oriented between said first and second sections.
- 8. A MRI coil array according to claim 6, wherein said second coil is oriented about said second section.
- 9. A MRI coil array according to claim 6, wherein said second coil is cascaded with said first coil.
 - 10. A MRI coil array, said array comprising:

a first solenoidal coil having a first section and a second section, said first section having more turns than said second section and said second section having a counter-rotational orientation with respect to said first section; and

a second solenoidal coil, said second coil being oriented with respect to said first coil to reduce coupling wherein said second coil is oriented about said second section.

11. A MRI coil array, said array comprising:

a first solenoidal coil having a first section and a second section, said first section having more turns than said second section and said second section having a counter-rotational orientation with respect to said first section; and

a second solenoidal coil, said second coil being oriented with respect to said first coil to reduce coupling, wherein said second coil is cascaded with said first coil.

12. A MRI coil array according to claim 6, further comprising an orthogonal coil forming a

quadrature pair with each of said solenoidal coils.

- 13. A MRI RF coil array according to claim 1, wherein said second coil is placed near the null B₁ point.
- 14. A MRI RF coil array according to claim 1, wherein said first coil comprises a plurality of winding sections with the B₁ field produced by one winding section stronger than the B₁ field produced by another winding section.
- 15. A MRI RF coil array according to claim 1, wherein said first coil comprises a plurality of winding sections, a number of the winding sections based on sensitivity parameters.
- 16. A MRI RF coil array according to claim 1, wherein said first coil comprises a plurality of winding sections and at least one of (i) a separation between winding sections and (ii) a diameter of the winding sections is based on sensitivity parameters.
- 17. A MRI RF coil array according to claim 1, wherein said second coil is positioned relative to said first coil based on B₁ field strength.
 - 18. A method for providing a MRI coil array, said method comprising:

configuring a first coil having a null B₁ point and a quasi-one-peak sensitivity profile with only one peak; and

configuring a second coil oriented with respect to said first coil to reduce coupling.

- 19. A method according to claim 18, wherein said second coil overlaps said first coil.
- 20. A method according to claim 18, wherein said second coil is cascaded with said first coil.